

Qualification Specification



Version 1.0 – June 2024 SafeCert Level 3 Award in Supervising Food Safety in Catering Qualification Number – SC24 17





Document Control

Document Title: Qual Spec – SafeCert Level 3 Award in Supervising Food Safety in Caterin

Document Number: QS-SFSC

Author: Paul Horsburgh

Change Authority: Tulsa McLain

Change History

Version	Date	Reason for change	Change by
1.0	28/06/2024	Final Release	Paul Horsburgh

Change Mechanism

Any person altering this document must consult the author before making any change.

SafeCert Awards Ltd Change Authority must endorse any alterations to the approved version of this document before any wider dissemination of the altered document.

The person altering must indicate every change between the previous (approved) document version and the modified document version.

Copyright

The copyright in this work is vested in SafeCert Awards Ltd, and the document is issued in confidence for the purpose for which it is supplied. It must not be reproduced in whole or in part or used for tendering or manufacturing purposes except under agreement or with the consent in writing of SafeCert Awards Ltd and then only on condition that this notice is included in any such reproduction. No information as to the contents or subject matter of this document or any part thereof arising directly or indirectly there from shall be given orally or in writing or communicated in any manner whatsoever to any third party being an individual firm or company or any employee thereof without the prior consent in writing of SafeCert Awards Ltd. Copyright © SafeCert Awards Ltd, 2024 to present. All Rights Reserved

Registered address:

SafeCert Awards Ltd

38 Main Street, Gortin BT79 8PH

Tel: 0845 500 2 100

www.safecertawards.com





Table of Contents 3

SEC	Introduction and Qualification Details	4	
SECTION ONE	Learning Outcomes Unit 1: The Principles of Food Safety Supervision for Catering	5	
0	Mode of Delivery and Assessment		
E	External Documents Relating to the Qualification		
	Unit: The Principles of Food Safety Supervising in Catering	6	
	Additional Information about the Unit		
	Guidance Notes on Delivery		
	Prerequisites	8	
	Qualification Structure	8	
	Opportunity for Progression	8	
	Pre-Qualification Procedures	8	
	ID Checking	8	
	Initial Assessment	8	
		9	
SEC	Assessment Overview	9	
SECTION TWO	Deliver/Assessment Ratio's	9	
V V	Guidance on Assessment	9	
ō	Guidance on Internal Quality Assurance	9	
	Guidance on External Quality Assurance	9	
	Reassessment Procedures	10	
	Grading and Unit Certification	10	
	Examination Procedures	10	
	Facilities, Resources and Safety Considerations	11	
	Reasonable Adjustments	11	
SEC	Centre Staffing	12	
IOIT	Criteria for Trainer/Assessors	12	
SECTION THREE	Criteria for Internal Quality Assurers	13	
REE	Internal Quality Assurance	13	
	·		
	Delivery	13	
		13 14	



Introduction

This Qualification Specification is designed to outline information relating to the delivery and achievement of the qualification. If you have any further questions, please contact our staff at SafeCert Awards Ltd (SafeCert) contact details on page 2 of this document.

Qualification Title:

SafeCert Level 3 Award in Supervising Food Safety in Catering

This qualification is made up of one mandatory unit

Unit 1: The Principles of Food Safety Supervision for Catering

Qualification Details

The SafeCert Level 3 Award in Supervising Food Safety in Catering) is Accredited by SafeCert Awards. This qualification has the value of 3 credit. The Guided Learning hours are 25. The Total Qualification Time (TQT) is 30 hours which included guided learning hours and assessment based in the classroom situation.

Qualification Objective

This qualification is designed for those who work in a supervisory, management or training role and require the knowledge and skills to manage food safety in catering.

The Principles of Food Safety Supervision for Catering – Summary of Learning Outcomes

This unit has 4 Learning outcomes:

- 1. Understand the role of the supervisor in ensuring compliance with food safety legislation
- 2. Understand the application and monitoring of good hygiene practice
- 3. Understand how to implement food safety management procedures
- 4. Understand the role of the supervisor in staff training

Mode of Delivery and Assessment

Assessment is by the tutor ongoing, culminating with a multiple choice question paper with professional discussion. The professional discussion can then cover any areas not covered by the multiple choice assessment.

There are detailed requirements for the delivery and assessment, of these qualifications, specified in this document. Therefore delivery and assessment, must be undertaken in line with this guidance, regardless of the mode of delivery chosen.





External Documents Relating to the Qualification

There are a range of additional documents available, pertaining to this qualification. The main ones are as follows:

- Tutor/Assessor & IQA Support Guidance for this award. This is the assessment guidance together with the assessments. (This is only given to approved SafeCert centres for this award).
- Centre Handbook. (This is only for approved SafeCert centres).
- There are other policies such as appeals procedure and reasonable adjustments which can be seen in the about us page on the SafeCert website.

Centre's must be approved by SafeCert Awards, before they can provide any of the SafeCert Award qualifications. Qualifications approval can be included at initial approval. If a centre wishes to seek approval for further awards after the initial approval, they would complete and submit the additional awards approval form.

More Information about your qualification can be found on our website: www.safecertawards.com



Unit: The Principles of Food Safety Supervision for Catering

This qualification is designed for those who work in a supervisory, management or training role and require the knowledge and skills to manage the food safety in a workplace.

require the knowledge and skills to manage the food safety in a workplace.				
Learning Outcome	Assessment Criteria			
1. Understand the role of the supervisor in	1.1 Summarise the importance of food safety management procedures			
ensuring compliance with food safety	1.2 Explain the responsibilities of employers and employees in respect of food safety legislation and procedures for compliance			
legislation		ne how the legisla	·	
2. Understand the	2.1 Explain the importance of, and methods for temperature control			
application and monitoring of good	2.2 Explain procedures to control contamination and cross-contamination			
hygiene practice	2.3 Justify the importance of high standards of personal hygiene			
	2.4 Expla	in procedures for	cleaning, disinfection and waste	e disposal
	2.5 Outline requirements relating to the design of food premises and equipment			
	2.6 Describe the importance of, and methods for pest control			
3. Understand how to implement food safety	3.1 Describe the importance to food safety of microbial, chemical, physical and allergenic hazards			
management procedures	3.2 Describe methods and procedures for controlling food safety to include critical control points, critical limits and corrective actions			
	3.3 Explain the requirements for monitoring and recording food safety procedures			
	3.4 Describe methods for, and the importance of, evaluating food safety controls and procedures			
4. Understand the role of 4.1 Explain the requirements for induction and on-going to			raining of staff	
the supervisor in staff training	4.2 Explain the importance of effective communication of food safety procedures			
Additional Information about the unit				
Qualification Number		SC24 17	Level	3
GLH – (Guided Learning H	-	25 Hours	Credit	3
TQT – (Total Qualification	Time)	30 Hours	<u></u>	
		i i		

Qualification Number	SC24 17	Level		3
GLH – (Guided Learning Hours)	25 Hours	urs Credit		3
TQT – (Total Qualification Time)	30 Hours			
Support for the unit from SSC or other appropriate body	Improve	Assessment requirements and guidance	Tutor/Assessor/IQA Guidance	
			document	



Guidance Notes on Delivery

This qualification is designed as an excellent supervisor's award for those who need to supervise food safety in the workplace or conduct food safety training, or as refresher training, for all staff in food safety in catering. This award can be completed in three days.

There are detailed requirements for the delivery and assessment of these qualifications specified in this document. Therefore delivery and assessment, must be undertaken, in line with this guidance, regardless of the mode of delivery chosen.

Any training materials used for assessment purposes, must either be endorsed by SafeCert beforehand or approved by the SafeCert external quality adviser before usage in line with this document. Where SafeCert—endorsed materials are available by training providers, they will be listed on our website www.safecertawards.com

Prerequisites

Age - Candidates must be 16 years and above.

These qualifications are available to anyone who is capable of reaching the required standards.

There are no formal requirements for entry to these qualifications.

Qualification Structure

This qualification is made up of one mandatory unit, the details of which are included from page 5 of this document. The validity of each certificate will expire after three years after which it needs to be renewed.

Opportunity for Progression

Successful completers can progress to:

• SafeCert Level 3 Award in Supervising Health and Safety in the Workplace

Pre-Qualification Procedures

The qualification is designed to be delivered free from any barriers which may restrict access or progression thereby promoting equal opportunities.

ID Checking

All candidates must be instructed to bring photographic identification to the assessment. This will be checked by the assessor. This instruction should be given, ahead of the course/assessment, when the candidate registers or with any pre-course materials.

It is the responsibility of the Centre, to have systems in place, to ensure that the person taking an examination/assessment is indeed the person they are reporting to be. All Centres are therefore required to ensure that each candidate's photographic identification, is checked before they are allowed to



undertake the examination/assessment. Assessors should write the type of photo identification provided by each candidate on the Candidate List under "Identification Provided".

Initial Assessment

All centres need to carry out an initial assessment that identifies, what competence and knowledge, a candidate already, so that this can be taken into account. This should be recorded so that centres can identify any associated special requirements and record this in appropriate plans.

SECTION 2 – ASSESSMENT OVERVIEW

Delivery / Assessment Ratios

To deliver and assess this qualification, it is recommended that centres do not exceed the ratio of one qualified tutor/assessor to 16 candidates. It is essential that all candidates get the teaching practice and support requirement which would be extremely difficult, if the number of candidates increased more than 16. If a centre wishes to increase this ratio, they must first request approval and state how they would support candidate needs.

Guidance on Assessment

SafeCert has worked with subject specialists, to develop a robust and streamlined assessment process which includes the following:

 Multiple Choice Assessment – Candidates are assessed with a multiple choice assessment in addition to a professional discussion. Together these cover all the learning outcomes for the award.

Full details and assessment materials, are recorded in the Tutor/Assessor Guidance support information.

Guidance on Internal Quality Assurance

SafeCert centres require a robust internal quality assurance system. The Internal Quality Assurance, must be completed by a suitably qualified person, who has not been involved in the delivery or assessment of the award.

The Internal Quality Assurers role, is to monitor not only the assessment of the qualification and also how it is delivered. This can be completed in a number of ways, such as observing a course delivery/assessment, sampling assessments, candidate interviews either in person or by telephone etc.

All assessment materials, must be kept at the centre for a minimum of 3 years and made available for any quality assurance checks.

Guidance on External Quality Assurance

SafeCert approved centres are required to be externally quality assured. This is to support centres in the implementation and management of the award and also to ensure the validity and value of the qualification.



SafeCert Awards employs a risk-based model to decide the frequency of EQA visits and each approved Centre will receive at least 1 EQA visit within a 3-year cycle. In addition to the EQA Visits SafeCert Awards will also conduct the following:

- Sample Desktop EQA Spot Checks on Assessment This is where we will ask a sample of centres each year to send in all assessment paperwork so we can conduct an EQA desktop approval on assessment
- Sample EQA Centre Visits on Observation of Assessment This is where we will conduct a sample of visits to monitor assessments during the assessment process at the centre.

SafeCert centres, must provide access to all records, for any EQA visits. Full details can be seen in the Centre Handbook.

Reassessment Procedures

Candidates who are unsuccessful in any aspect of the assessment process, will be offered one further opportunity to be reassessed, in the appropriate component of the assessment. Ideally this should be within the timeframe allocated. Candidates should be aware, that there may well be an additional charge, for conducting reassessments.

Plans of assessment and assignment tasks, must be approved by SafeCert before the first assessment is undertaken by the candidates. This approval process, will be carried out by the EQA, either electronically (or using other forms of correspondence), or at an initial quality assurance visit. Materials for approval, can be sent directly to SafeCert's office manager, who will ensure it is passed to the appropriate person. A standard SafeCert observation pro forma is available for use by centres.

Grading

Assessment is pass, reassessment or fail.

Unit Certification

Due to the qualification being a one unit qualification, all assessment components must be completed by the candidate and they must pass the centre quality assurance process to be certificated.

Centre Examination Procedures

SafeCert requires centres to monitor assessments in place:

- Assessor must keep all assessment papers in secure locked area until the start of the assessment
- Assessor will ensure no candidates, have any written notes or other reference material during the assessment
- Assessor will ensure the assessment room is in a quiet area and the room has an Exam Notice –
 "Please keep quiet during the assessment".
- Assessor will make sure there is no talking during the assessment
- Assessor will ensure there is a suitable distance between candidates, to prevent copying of answers
- All candidates will need to sit at their desk and remain quiet until assessment period is complete



- All candidates must stop writing as soon as the assessor has indicated, that the assessment time is now completed
- All question papers and answer sheets, should then be marked by the assessor. This is then sent to IQA for internal quality assurance of results and then held in secure locked area, for at least the duration of the validity of the award. SafeCert will then request full assessment materials sent in, if you are a new centre or for periodic EQA desktop spot checks on assessment. If you have direct claims, then only the registration and results are required to be sent in, unless otherwise requested. During external quality assurance visits, the EQA will need access to all assessment and IQA documents. SafeCert Awards will also conduct EQA observation of assessment visits to a sample of centres each year.

Facilities/Resources/Safety Considerations

Any training or assessment centre, must meet the requirements of health and safety and accepted safe practice, in the delivery of safe cert Qualifications. Training and assessment centres must be suitable as a teaching area/environment conducive to candidates' learning. It should be well lit, well ventilated and of adequate size as per above requirements.

Reasonable Adjustments

Awarding organisations and centres are only required by law, to do what is 'reasonable' regarding giving access. What is reasonable will depend on the individual circumstances, the practicality and effectiveness of the adjustment. Other factors, such as the need to maintain competence standards and health and safety, must also be taken into consideration.

A reasonable adjustment, is any action that helps to reduce the effect of a disability or difficulty that places the candidate at a substantial disadvantage in the assessment situation.

Reasonable adjustments must not affect the integrity of what needs to be assessed, but may involve:

- changing standard assessment arrangements, for example allowing candidates extra time to complete the assessment activity
- adapting assessment materials, such as providing materials in large print
- providing access facilitators during assessment, such as a sign language interpreter or a reader
- re-organising the assessment room, such as removing visual stimuli for an autistic candidate.

Reasonable adjustments are approved and agreed before the assessment activity takes place. They constitute an arrangement to give the candidate access to the assessment activity. The use of a reasonable adjustment, will not be taken into consideration during the assessment of a candidate's work.

All awarding organisations and centres have a responsibility to ensure that the process of assessment is robust and fair, while allowing the candidate to show what they know and can do, without compromising the assessment criteria.

Adjustments to assessments:

- should not invalidate the assessment requirements of the qualification
- should not give the candidates an unfair advantage
- should reflect the normal working way for the candidate
- should be based on the individual need of the candidate



SafeCert has a Reasonable adjustments policy which can be found on our website http://www.safecertawards.com/pdf/ReasonableAdjustmentsPolicy.pdf, or you can obtain a copy by e-mailing SafeCert at info@safecertawards.com.

SECTION 3

Centre Staffing

Centres should ensure that there are a sufficient number of people either trained or qualified to assess the number of candidates they register. They must also ensure that there are a sufficient number of people either trained or qualified to internally quality assure, for the number of candidates and assessors held at the centre.

Quality Assurance systems need to be in place to ensure that all assessments are valid, reliable, authentic and sufficient. Training should be sufficient and up-to-date for the IQAs identified as being responsible for quality assurance.

Centres need to ensure that there is a system of standardisation in place, so that all assessments are consistent and fair. Anyone undertaking the role of quality assurance and assessment, should maintain their skills, knowledge, and understanding, regarding assessment and quality assurance and hold a current qualification.

The occupational expertise of those undertaking the roles of assessment and internal verification is one of the key factors underpinning valid, fair and reliable assessment. The integrity of assessments and verifications is of paramount importance. Centres must ensure, that there is sufficient time, to conduct effective assessment and internal verification.

Criteria for Trainer/Assessors

SafeCert require that Nominated Trainers/Assessors have teaching experience and qualification in the relevant subject area.

Suitable Subject Area Qualifications may include:

- Level 4 Award in Food Safety or Award Level 3 Award with prior experience of teaching level 2 food safety awards
- Diploma in Environmental Health or Accredited Degree in Environmental Health and EHRB Certificate of Registration
- Diploma or Degree in Food Science Technology or Home Economics

Suitable teaching or assessing qualification may include.

Teaching

- PTLLS Teaching Award
- Level 3 Award in Education and Training
- Planning and Delivering Learning Sessions to Groups SCQF Level 6



Assessing

- TAQA Assessor Awards (or D32/D33/A1, A2)
- SQA Assess Work based Competence using Direct Methods
- SQA Assess Work based Competence using Direct and Indirect Methods
- Planning and Delivering Learning Sessions to Groups SCQF Level 6
- Regulated Food Safety Assessing CPD Certificate from Awarding Body

As well as the subject knowledge and competence the trainer/assessor should also have one or more as required of the approved teaching/assessing awards as listed on the next page

**. Assessors who do not hold a formal assessing qualification may alternatively attend Supervising Food Safety CPD Training with a recognised Awarding Organisation

Criteria for Internal Quality Assurers

SafeCert require the Nominated IQA for an approved centre must qualify in the relevant subject area.

Suitable Subject Area Qualifications may include:

- Food Safety Award or Certificate at
- ROF Level 2 or above
- Food Safety Trainer or CPD Award delivered by a recognised awarding body

As well as the subject knowledge and competence the IQA must also have one of the approved IQA qualifications as listed below.

Qualification	IQA*
V1 or D34	✓
Level 4 Award in the Internal Quality Assurance of Assessment Processes and Practice	✓
Level 4 Certificate in Leading the Internal Quality Assurance of Assessment Processes and	✓
Practice	
Regulated Qualifications based on the Learning and Development NOS 11 Internally Monitor	✓
and Maintain the Quality of Assessment	
SQA Accredited Learning and Development Unit 11 Internally Monitor and Maintain the	✓
Quality of Workplace Assessment	
SQA Internally Verify the Assessment Process	✓

*. IQAs who do not hold a formal IQA qualification may alternatively attend Internal Quality Assurance CPD Training with a recognised Awarding Organisation

Internal Quality Assurance

Internal quality assurance, is essential to ensuring that the assessment of evidence for the unit is of a consistent and appropriate quality. Those carrying out internal quality assurance, must be occupationally knowledgeable in the area they are assuring and be qualified to make quality assurance decisions.

Delivery

The qualification must be delivered using a programme of training, that is approved by SafeCert Awards, to ensure that the learning outcomes have been met. A qualification can be approved with initial centre



approval form or after with an additional awards application form, these can be downloaded from www.safecertawards.com, or you can contact SafeCert for more information.

The programme may be flexible to suit candidates' needs and local circumstances; facilitators/assessors are encouraged to repeat sessions that candidates have not fully grasped or introduce additional sessions to ensure understanding and competence. It is recommended that where possible the theoretical sessions are interspersed with practical aspects.

Useful Websites

Company	Website
HSE	www.hse.gov.uk/
Food Standards Agency	http://www.food.gov.uk/
Food Standards Northern Ireland	https://www.food.gov.uk/northern-ireland
SafeCert Awards	www.safecertawards.com